



Introduction

Main properties

The need of a
band-gap

Opening a
bandgap

Why graphene is
gapless?

Designing
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Summary

Symmetry-induced band-gap opening in graphene superlattices

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ECOSS 27 Groningen
August, 29th - September 3rd, 2010



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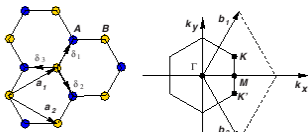
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Basic electronic structure

$$H \approx -t \sum_{i,\tau} \sum_j a_{\tau}^{\dagger}(\mathbf{R}_i) b_{\tau}(\mathbf{R}_i + \delta_j) + c.c.$$



$$a_{\tau;i} = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{-i\mathbf{k}\mathbf{R}_i} a_{\tau}(\mathbf{k})$$

$$H = -t \sum_{\mathbf{k},\tau} f(\mathbf{k}) a_{\tau}^{\dagger}(\mathbf{k}) b_{\tau}(\mathbf{k}) + c.c.$$

$$H = -t \sum_{\mathbf{k},\tau} \begin{bmatrix} a_{\tau}^{\dagger}(\mathbf{k}), b_{\tau}^{\dagger}(\mathbf{k}) \end{bmatrix} \begin{bmatrix} 0 & f(\mathbf{k}) \\ f^*(\mathbf{k}) & 0 \end{bmatrix} \begin{bmatrix} a_{\tau}(\mathbf{k}) \\ b_{\tau}(\mathbf{k}) \end{bmatrix}$$

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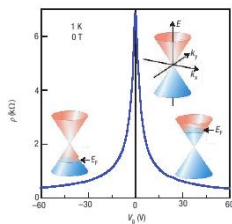
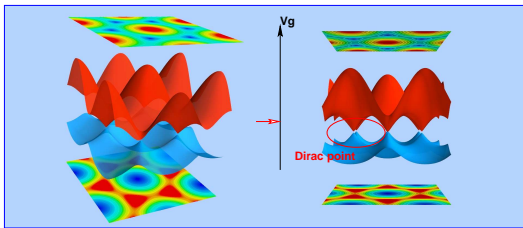
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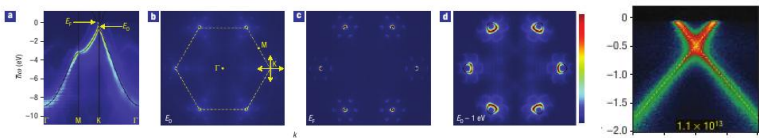
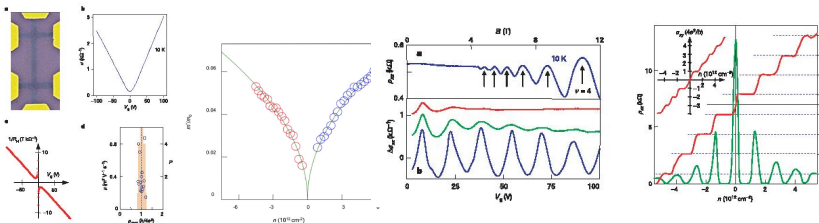
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Device related properties

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- **Thickness:** thinnest gate-controlled regions in transistors
- **Mobility:** high-mobility carriers
- **High-field transport:** high saturation velocities
- **Band-gap:** high on-off ratios are not achievable without a bandgap



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Logic applications

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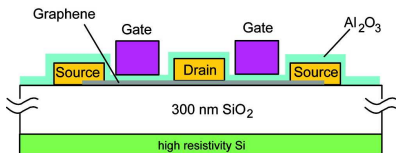
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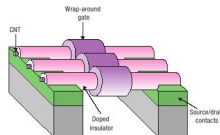
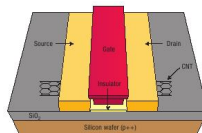
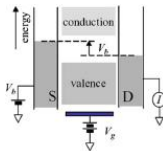
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$$n_S = \epsilon_0 \epsilon \frac{V_g}{t e}$$



CNT-FET with ordinary and wrapped around gates

P. Avouris *et al.*, *Nat. Mat.*, 605, 2, (2007)

F. Schwierz, *Nat. Nanotech.* 5, 487 (2010)



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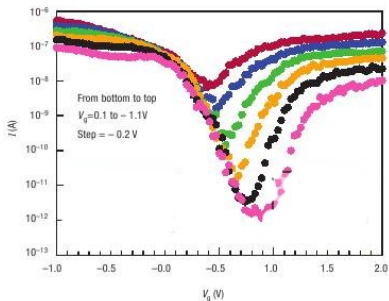
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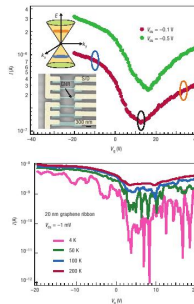
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$I - V_g$ characteristics of a CNT-FET



$I = I(V_g, V_{ds})$ GNR-FET



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- **Electron confinement**: nanoribbons, (nanotubes), etc.
- **Symmetry breaking**: epitaxial growth, deposition, etc.
- **Symmetry preserving**: “supergraphenes”



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e-h symmetry

$$H^{TB} = \sum_{\sigma, ij} (t_{ij} a_{i, \sigma}^{\dagger} b_{j, \sigma} + t_{ji} b_{j, \sigma}^{\dagger} a_{i, \sigma})$$

Electron-hole symmetry

$$b_i \rightarrow -b_i \implies \mathbf{h} \rightarrow -\mathbf{h}$$

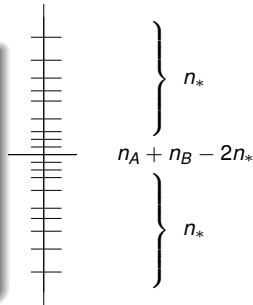
if ϵ_i is eigenvalue and

$$c_i^{\dagger} = \sum_j \alpha_j a_j^{\dagger} + \sum_j \beta_j b_j^{\dagger} \text{ eigenvector}$$



$-\epsilon_i$ is also eigenvalue and

$$c_i'^{\dagger} = \sum_j \alpha_j a_j^{\dagger} - \sum_j \beta_j b_j^{\dagger} \text{ is eigenvector}$$



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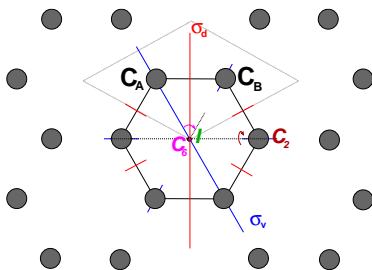
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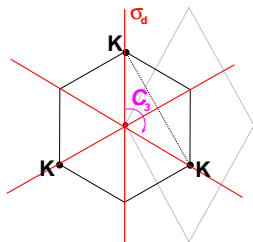
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r-space



$$G_0 = D_{6h}$$

k-space



$$G(\mathbf{k}) = \{g \in G_0 | g\mathbf{k} = \mathbf{k} + \mathbf{G}\}$$

$$\Rightarrow G(\mathbf{K}) = D_{3h}$$



Spatial symmetry

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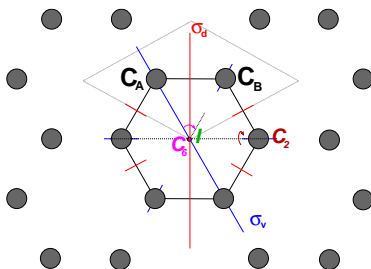
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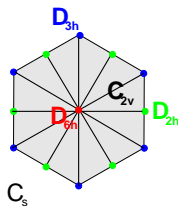
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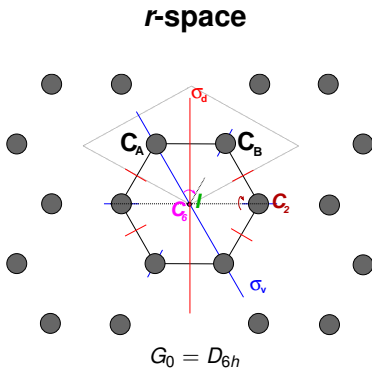
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$$|A_{\mathbf{k}}\rangle = \frac{1}{\sqrt{N_{BK}}} \sum_{\mathbf{R} \in BK} e^{-i\mathbf{k}\mathbf{R}} |A_{\mathbf{R}}\rangle$$

$$|B_{\mathbf{k}}\rangle = \frac{1}{\sqrt{N_{BK}}} \sum_{\mathbf{R} \in BK} e^{-i\mathbf{k}\mathbf{R}} |B_{\mathbf{R}}\rangle$$

$$\langle r | A_{\mathbf{R}} \rangle = \phi_{p_z}(\mathbf{r} - \mathbf{R})$$

for $\mathbf{k} = \mathbf{K}$

$\{|A_{\mathbf{k}}\rangle, |B_{\mathbf{k}}\rangle\}$ span the E'' irrep of D_{3h}



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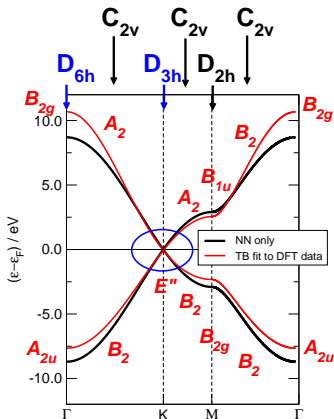
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$$|A_{\mathbf{k}}\rangle = \frac{1}{\sqrt{N_{BK}}} \sum_{\mathbf{R} \in BK} e^{-i\mathbf{k}\mathbf{R}} |A_{\mathbf{R}}\rangle$$

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Spatial and e - h symmetry

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Lemma

e - h symmetry holds within each kind of symmetry species (A , E , ..)

Theorem

*For any bipartite lattice at **half-filling**, if the number of E irreps is **odd** at a special point, there is a degeneracy **at the Fermi level**, i.e. $E_{\text{gap}} = 0$*



A simple recipe

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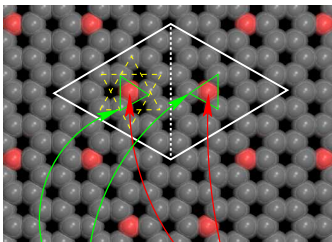
Summary

- Consider $n \times n$ graphene **superlattices** (i.e. $G = D_{6h}$): degeneracy is expected at Γ , K
- Introduce p_z vacancies while **preserving** point symmetry
- Check whether it is possible to turn the **number of E irreps** to be **even both** at Γ **and** at K



Counting the number of E irreps

$$n = 4$$



Γ : $2A + 2E$
 K : $2A + 2E$

Γ : $2A$
 K : E

Γ	A	E
$\bar{0}_3$	$2m^2$	$2m^2$
$\bar{1}_3$	$2(3m^2 + 2m + 1)$	$2(3m^2 + 2m)$
$\bar{2}_3$	$2(3m^2 + 4m + 2)$	$2(3m^2 + 4m + 1)$

K_n	A	E
$\bar{0}_3$	$2m^2$	$2m^2$
$\bar{1}_3$	$2m(3m + 2)$	$2m(3m + 2) + 1$
$\bar{2}_3$	$2(3m^2 + 4m + 1)$	$2(3m^2 + 4m + 1) + 1$

$$\Rightarrow n = 3m + 1, 3m + 2, m \in \mathbb{N}$$

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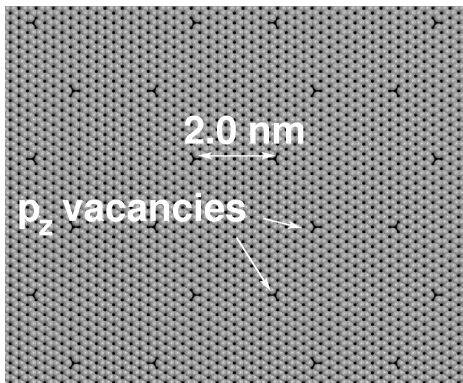
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An example

(14, 0)-honeycomb



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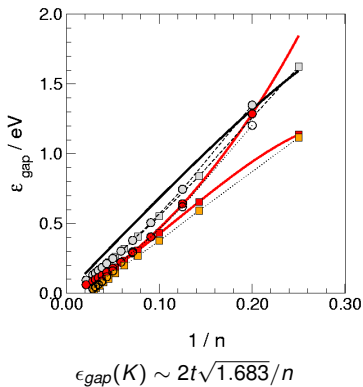
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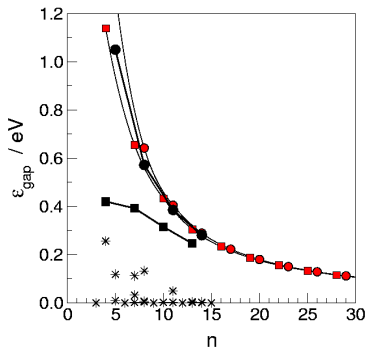
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Tight-binding



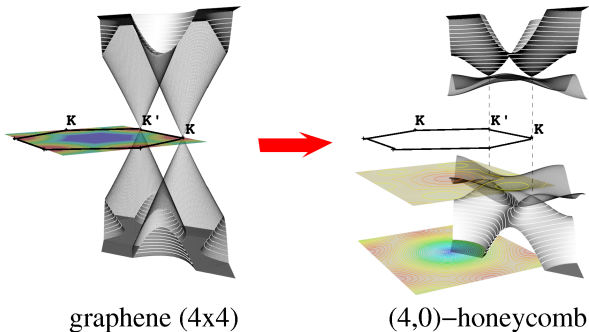
DFT





..and Dirac cones

..not only: as degeneracy may still occur at $\epsilon \neq \epsilon_F$
new Dirac points are expected



graphene (4x4)

(4,0)-honeycomb

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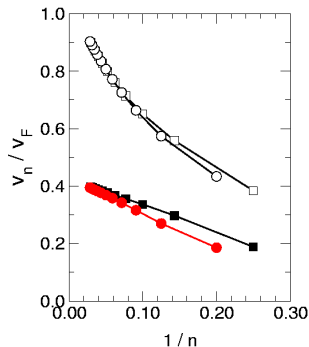
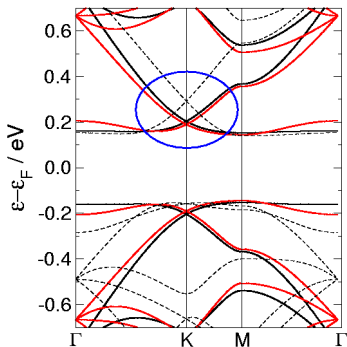
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Antidot superlattices

...the same holds for **honeycomb antidots**

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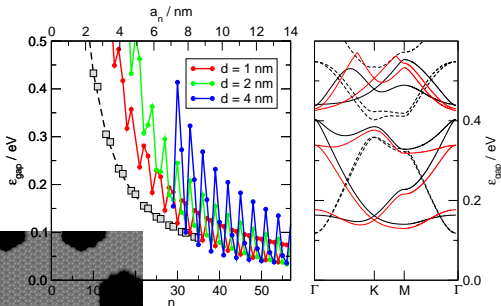
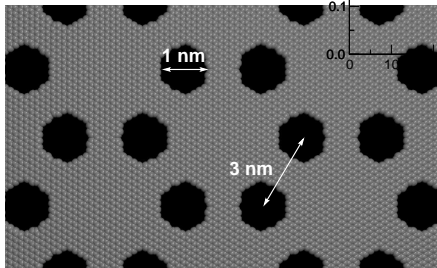
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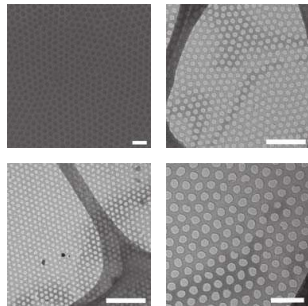
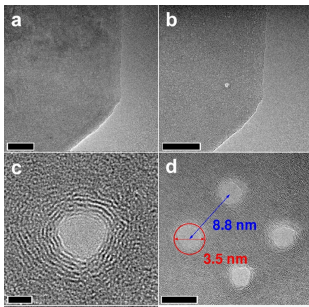
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Antidot superlattices

...the same holds for **honeycomb antidots**



M. D. Fishbein and M. Drndic, *Appl. Phys. Lett.* **93**, 113107 (2008)

T. Shen *et al.* *Appl. Phys. Lett.* **93**, 122102 (2008)

J. Bai *et al.* *Nature Nanotech.* **5**, 190 (2010)



..novel transistor?

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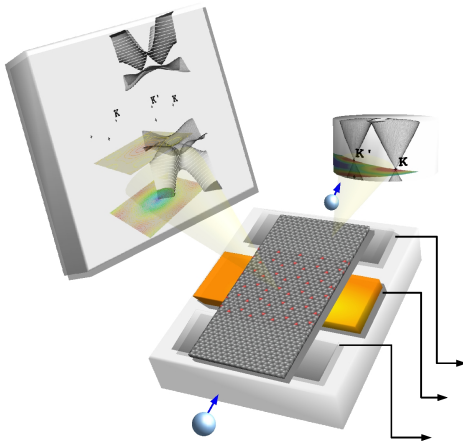
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- Symmetry *breaking* is **not** necessary to open a gap
- New Dirac cones appears right **close** to the edges of the gap region
- **Honeycomb antidot** superlattices should be experimentally feasible



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Thanks for your attention!